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PTO/SB/05 (03-01)

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	155.015
	First Named Inventor	Adel B. Korkor
	Title	Catheter Introducer Having an Expandable Tip
	Express Mail Label No.	EV313039175US

<b>APPLICATION ELEMENTS</b>  <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. ( <input checked="" type="checkbox"/> ) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. ( <input checked="" type="checkbox"/> ) Applicant claims small entity status See 37 CFR 1.27 3. ( <input checked="" type="checkbox"/> ) Specification [Total Pages <u>34</u> ] <i>(preferred arrangement set forth below, MPEP 1503.01)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 4. ( <input checked="" type="checkbox"/> ) Drawing(s) (35 U.S.C. 113) [Total Sheets <u>5</u> ] 5. Oath or Declaration [Total Pages <u>3</u> ] a. ( <input checked="" type="checkbox"/> ) Newly executed (original or copy) b. ( <input type="checkbox"/> ) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i> i. ( <input type="checkbox"/> ) <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b). 6. ( <input type="checkbox"/> ) Application Data Sheet. See 37 CFR 1.76 7. ( <input type="checkbox"/> ) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	8. ( <input type="checkbox"/> ) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. ( <input type="checkbox"/> ) Computer Readable Form (CRF) b. ( <input type="checkbox"/> ) Specification Sequence Listing on: i. ( <input type="checkbox"/> ) CD-ROM or CD-R (2 copies); or ii. ( <input type="checkbox"/> ) paper c. ( <input type="checkbox"/> ) Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. ( <input checked="" type="checkbox"/> ) Assignment Papers (cover sheet & document(s)) 10. ( <input type="checkbox"/> ) 37 CFR 3.73(b) Statement ( <input checked="" type="checkbox"/> ) Power of Attorney <i>(when there is an assignee)</i> 11. ( <input type="checkbox"/> ) English Translation Document (if applicable) 12. ( <input checked="" type="checkbox"/> ) Information Disclosure Statement (IDS)/PTO-1449 ( <input type="checkbox"/> ) Copies of IDS Citations 13. ( <input type="checkbox"/> ) Preliminary Amendment 14. ( <input checked="" type="checkbox"/> ) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. ( <input type="checkbox"/> ) Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. ( <input type="checkbox"/> ) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent 17. ( <input type="checkbox"/> ) Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ☐ ) Continuation ( ☐ ) Divisional ( ☒ ) Continuation-in-part (CIP) of prior application No:                       
 Prior application information: Examiner Kathryn L. Assadi Group / Art Unit: 3763

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

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Signature	Date: August 5, 2003

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August 5, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal  
Inventor(s): Korkor et al.  
Title: *Catheter Introducer Having an Expandable Tip*  
Assignee: A.B. Korkor Medical, Inc.  
Continuation-In-Part of U.S. Pat. App. Ser. 09/896,035, filed June 29,  
2001  
**USPTO Customer Account No.: 23598**  
Attorney Docket No.: 155.015

Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of **27** pages of specification, **21** claims on **6** sheets, **22** Drawings on **5** sheets, and an Abstract on 1 sheet;
- Utility Patent Application Transmittal (Form PTO/SB/05);
- our check in the amount of **\$424.00** in payment of the government filing fee for the patent application;
- An Information Disclosure Statement.



**Small Entity Statement:**

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

**Related Application – Priority Claim**

This application is a *continuation-in-part* of the following co-pending U.S. Patent Application Serial No. 09/896,035, entitled "*Catheter Introducer Having an Expandable Tip*" filed June 29, 2001.

**Deposit Account Authorization**

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Timothy E. Newholm".

Timothy E. Newholm  
Registration No. 34,400

Enclosures

**Certification Under 37 CFR 1.10**

Express Mail Label No: EV313039175US

Date of Deposit: August 5, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "Jodi A. Calderon".  
Jodi A. Calderon